

GAL 2161



Attorney Docket No. 3000.2

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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SEP 21 2001

In re Application of:

O. James Peterson IV

Art Unit: 2161

Technology Center 2100

Serial No. 09/896,068

Examiner:

Filed: June 29, 2001

For: A METHOD OF PROCURING FINANCIAL PRODUCTS

Handwritten notes: 10-15-01

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

Pursuant to Rule 56 and Rule 97 et seq., Applicant hereby submits
Form PTO/SB/08A and the references listed thereon (attached hereto).

The relevancy of each reference is disclosed in the
specification.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient
postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on
September 12, 2001.


Janice B. Davis

Applicant respectfully requests that each reference be reviewed and made of reference in the instant application.

Respectfully submitted,



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Substitute for form 1449A/PTO

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Sheet

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1

Examiner Name

Attorney Docket Number

Application Number

09/896,068

Filing Date

06/29/2001

First Named Inventor

O. James Peterson IV

Group Art Unit

2161

Examiner Name _____

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Date	
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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